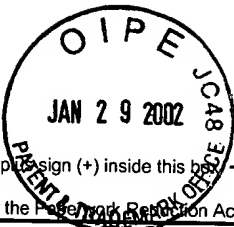


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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/903,219		
	<b>Filing Date</b>	July 10, 2001	
	<b>First Named Inventor</b>	Russell A. HOUSER	
	<b>Group Art Unit</b>	3739	
	<b>Examiner Name</b>	To Be Assigned	
<b>Total Number Of Pages In This Submission</b>	16 pages + 17 references	<b>Attorney Docket No.</b>	441742000102

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Form PTO-1449 - 6 pages + duplicate copy
<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> Request for Refund	2. Seventeen (17) references
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Return receipt postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	
Date	January 7, 2002

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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#4  
PATENT  
Docket No. 441742000102

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Patricia Ellison

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Russell A. HOUSER et al.

Serial No.: 09/903,219

Filing Date: July 10, 2001

For: PERCUTANEOUS BYPASS GRAFT  
AND SECURING SYSTEM

Examiner: To Be Assigned

Group Art Unit: 3739

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of documents numbered on the Form 1449: 34, 73, 77, 84, 90, 98-99, 116, 127, 129, 138, 141-142, 144, and 150-152, are submitted herewith. However, copies of documents numbered 1-33, 35-72, 74-76, 78-83, 85-89, 91-97, 100-115, 117-126, 128, 130-137, 139-140, 143, 145-149, and 153-156 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/415,776, filed October 8, 1999, now issued as U.S. patent no. 6,293,955, and Serial Number 08/966,003, filed November 7, 1997, now

1-5-89  
issued as U.S. patent no. 5,989,276, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

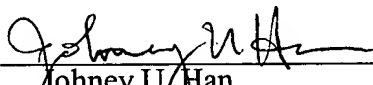
- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \* is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **441742000102**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 7, 2002

Respectfully submitted,

By:   
Johny U. Han  
Registration No. 45,565

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5786  
Facsimile: (650) 494-0792

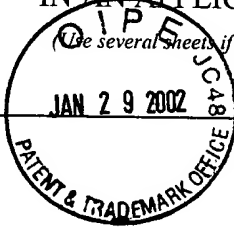
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Sheet 1 of 6

Form PTC-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

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Docket Number 441742000102

Application Number 09/903,219

Applicant

Russell A. HOUSER et al.

Filing Date July 10, 2001

Group Art Unit 3739

Mailing Date January 7, 2002

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date Appropriate
	1.	07/29/1980	4,214,587	Sakura, Jr.			
	2.	01/04/1983	4,366,819	Kaster			
	3.	01/18/1983	4,368,736	Kaster			
	4.	08/26/1986	4,607,637	Berggren et al.			
	5.	11/25/1986	4,624,257	Berggren et al.			
	6.	04/14/1987	4,657,019	Walsh et al.			
	7.	05/19/1987	4,665,906	Jervis			
	8.	11/29/1988	4,787,386	Walsh et al.			
	9.	04/17/1990	4,917,087	Walsh et al.			
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	11.	04/17/1990	4,917,091	Berggren et al.			
	12.	08/21/1990	4,950,227	Savin et al.			
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	14.	01/07/1992	5,078,736	Behl			
	15.	10/20/1992	5,156,613	Sawyer			
	16.	03/02/1993	5,190,546	Jervis			
	17.	08/10/1993	5,234,447	Kaster et al.			
	18.	02/21/1995	5,391,156	Hildwein et al.			
	19.	04/11/1995	5,405,322	Lennox et al.			
	20.	08/22/1995	5,443,497	Venbrux			
	21.	04/02/1996	5,503,635	Sauer et al.			
	22.	11/05/1996	5,571,167	Maginot			
	23.	01/07/1997	5,591,226	Trerotola et al.			
	24.	01/28/1997	5,597,378	Jervis			
	25.	05/13/1997	5,628,784	Strecker			
	26.	08/12/1997	5,657,429	Wang et al.			

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Sheet 2 of 6

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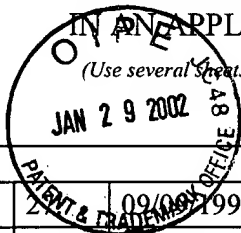
Docket Number 441742000102

Application Number 09/903,219

## INFORMATION DISCLOSURE CITATION

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Applicant

Russell A. HOUSER et al.

Filing Date July 10, 2001

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Mailing Date January 7, 2002

27.	09/09/1997	5,665,117	Rhodes			
28.	09/23/1997	5,669,934	Sawyer			
29.	10/14/1997	5,676,670	Kim			
30.	11/25/1997	5,690,675	Sawyer et al.			
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35.	02/24/1998	5,720,755	Dakov			
36.	03/10/1998	5,725,544	Rygaard			
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38.	05/12/1998	5,749,375	Maginot			
39.	05/12/1998	5,749,895	Sawyer et al.			
40.	05/26/1998	5,755,775	Trerotola et al.			
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42.	07/14/1998	5,779,718	Green et al.			
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45.	08/25/1998	5,797,934	Rygaard			
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48.	10/20/1998	5,824,015	Sawyer			
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50.	02/09/1999	5,868,759	Peyser et al.			
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55.	08/10/1999	5,934,286	Maginot			
56.	08/17/1999	5,938,672	Nash			

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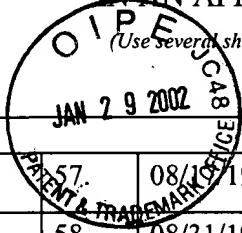
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Docket Number 441742000102

Application Number 09/903,219

Applicant

Russell A. HOUSER et al.

Filing Date July 10, 2001

Group Art Unit 3739

Mailing Date January 7, 2002

57.	08/31/1999	5,938,696	Goicoechea et al.			
58.	08/31/1999	5,944,019	Knudson et al.			
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60.	08/31/1999	5,944,738	Amplatz et al.			
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70.	11/02/1999	5,976,178	Goldsteen et al.			
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73.	11/23/1999	5,989,276	Houser et al.			
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77.	12/21/1999	6,004,347	McNamara et al.			
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80.	01/25/2000	6,017,352	Nash et al.			
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85.	03/14/2000	6,036,702	Bachinski et al.			
86.	03/14/2000	6,036,703	Evans et al.			

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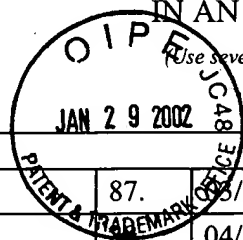
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Sheet 4 of 6

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Docket Number 441742000102

Application Number 09/903,219

Applicant

Russell A. HOUSER et al.

Filing Date July 10, 2001

Group Art Unit 3739

Mailing Date January 7, 2002

87.	03/14/2000	6,036,705	Nash et al.			
88.	04/11/2000	6,048,362	Berg			
89.	05/02/2000	6,056,762	Nash et al.			
90.	05/09/2000	6,059,824	Taheri			
91.	05/16/2000	6,063,114	Nash et al.			
92.	05/30/2000	6,068,654	Berg et al.			
93.	06/06/2000	6,071,305	Brown et al.			
94.	06/13/2000	6,074,416	Berg et al.			
95.	09/05/2000	6,113,612	Swanson et al.			
96.	09/12/2000	6,117,147	Simpson et al.			
97.	09/19/2000	6,120,432	Sullivan et al.			
98.	11/21/2000	6,149,681	Houser et al.			
99.	09/25/2001	6,293,955 B1	Houser et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation	
							YES	NO
	100.	08/01/1996	WO 96/22745	WIPO				
	101.	04/17/1997	WO 97/13463	WIPO				
	102.	04/17/1997	WO 97/13471	WIPO				
	103.	05/09/1997	WO 97/16122 A1	WIPO				
	104.	08/07/1997	WO 97/27893 A1	WIPO				
	105.	08/07/1997	WO 97/27897	WIPO				
	106.	08/07/1997	WO 97/27898	WIPO				
	107.	09/04/1997	WO 97/31575 A1	WIPO				
	108.	11/06/1997	WO 97/40754 A1	WIPO				
	109.	11/27/1997	WO 97/43961	WIPO				
	110.	01/29/1998	WO 98/03118	WIPO				
	111.	2/19/1998	WO 98/06356	WIPO				
	112.	02/26/1998	WO 98/07399	WIPO				

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Application Number 09/903,219

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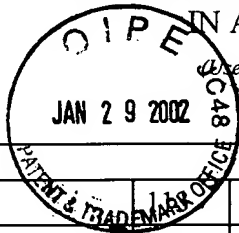
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Russell A. HOUSER et al.

Filing Date July 10, 2001

Group Art Unit 3739

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111.	03/05/1998	WO 98/08456	WIPO				
114.	05/04/1998	WO 98/19608	WIPO				
115.	05/14/1998	WO 98/19618	WIPO				
116.	05/14/1998	WO 98/19625	WIPO				
117.	05/14/1998	WO 98/19629	WIPO				
118.	05/14/1998	WO 98/19630	WIPO				
119.	05/14/1998	WO 98/19631	WIPO				
120.	05/14/1998	WO 98/19632	WIPO				
121.	05/14/1998	WO 98/19634	WIPO				
122.	05/14/1998	WO 98/19635	WIPO				
123.	05/14/1998	WO 98/19636 A2	WIPO				
124.	05/14/1998	WO 98/19732	WIPO				
125.	09/11/1998	WO 98/38939	WIPO				
126.	09/11/1998	WO 98/38941	WIPO				
127.	09/17/1998	WO 98/40036 A1	WIPO				
128.	10/01/1998	WO 98/42262 A1	WIPO				
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130.	12/10/1998	WO 98/55027 A2	WIPO				
131.	12/23/1998	WO 98/57590	WIPO				
132.	12/23/1998	WO 98/57591	WIPO				
133.	12/23/1998	WO 98/57592	WIPO				
134.	01/07/1999	WO 99/00055	WIPO				
135.	04/22/1999	WO 99/18887	WIPO				
136.	08/05/1999	WO 99/38454 A2	WIPO				
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142.	12/23/1999	WO 99/65409 A1	WIPO				
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Docket Number 441742000102

Application Number 09/903,219

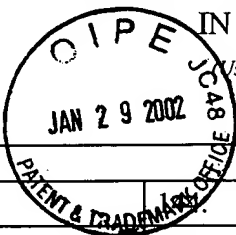
Applicant

Russell A. HOUSER et al.

Filing Date July 10, 2001

Group Art Unit 3739

Mailing Date January 7, 2002



		03/23/2000	WO 00/15144 A1	WIPO				
	145.	05/18/2000	WO 00/27311 A1	WIPO				
	146.	05/18/2000	WO 00/27313 A2, A3	WIPO				
	147.	05/04/2000	WO 00/24339 A1	WIPO				
	148.	07/13/2000	WO 00/40176 A1	WIPO				
	149.	09/14/2000	WO 00/53104 A1	WIPO				
	150.	06/14/2001	WO 01/41653 A1	WIPO				
	151.	02/25/1998	EP 0 824 901 A2, A3	Europe				
	152.	02/03/1999	EP 0 894 475 A1	Europe				

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	153.	Yusuf, S. W. et al. (1994). "Transfemoral Endoluminal Repair of Abdominal Aortic Aneurysm with Bifurcated Graft," <i>Lancet</i> 344(8923):650-651.
	154.	Heijmen et al., (1999) "A novel one-shot anastomotic stapler prototype for coronary bypass grafting on the beating heart: feasibility in the pig" <i>J. Thorac. Cardiovascul. Surg.</i> 117:117-125.
	155.	Gorisch, W. et al., (1982) "Heat-induced contraction of blood vessels" <i>Lasers in Surgery and Medicine</i> 2:1-13.
	156.	Gragg, A.H. et al., (1982) "Endovascular diathermic vessel occlusion" <i>Radiology</i> 144:303-308.

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DATE CONSIDERED:

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